



# Voting and Corporate Actions Policy

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INDUS AU PTY LIMITED  
ABN 78 682 656 873

AUTHORISED REPRESENTATIVE OF AUSTRALIAN  
FINANCIAL SERVICES LICENCE NO. 337927

[WWW.INVESTINDUS.COM/AU](http://WWW.INVESTINDUS.COM/AU)

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## About this Policy

This Voting and Corporate Actions Policy applies to Indus AU Pty Limited (ABN 78 682 656 873), an Authorised Representative of Sanlam Private Wealth Pty Ltd (ACN 136 960 775, Australian Financial Services Licence No. [xx]) (Indus), and outlines our approach to voting and corporate actions for Indian mutual funds available through Indus.

This Policy aims to:

- Explain how Indus treats corporate actions and the impact they may have on your Indus account.
- Provide transparency on which corporate actions, including unit holder voting where applicable, you as an investor can participate in for investments available on the Indus app.

We may update this Policy from time to time without notice unless required to do so. We may also make information available through our app, website, or other communications, which should be read together with this Policy.

Read this Policy alongside the Indus Terms and Conditions and other disclosures available at [investindus.com/au/legal](https://investindus.com/au/legal).

We reserve the right to apply this Policy with flexibility to address circumstances as they arise.

## About Indus

Indus enables investors to buy and hold units in Indian mutual funds through the Indus app.

To provide this service, we hold client assets with us or with engaged third parties acting as custodian. You retain the beneficial interest in the mutual fund units you purchase through the app, but you are not the registered owner of those units.

For more information on how Indus works, including the differences between investing through Indus and investing directly with a mutual fund, please see our Terms and Conditions on our website.

## Voting

As noted above, Indus or an engaged third-party custodial provider may be recorded as the registered holder for operational purposes of assets, and you retain the economic and beneficial interest in the investments. This custodial structure means you do not have voting rights or meeting participation rights in your own name.

For underlying companies held within Indian mutual funds, neither you nor Indus can vote. Voting at company meetings is exercised by the mutual fund manager in line with its stewardship and proxy voting policies.

For mutual fund unitholder meetings, Indus does not generally facilitate voting or meeting participation for individual clients. In limited cases, and only where time, operational capability, and authorisation allow, we may facilitate voting on a best-efforts basis. If we do so, we will make a record of any vote we cast available on request.

If you wish to understand how votes are exercised at the company level, please refer to the relevant fund manager's stewardship or proxy voting policy.

## Corporate Actions

Because mutual fund units are registered in the name of Indus or an engaged custodian, you will not usually receive communications directly from the fund issuer. You can ask us for copies of communications we receive and are able to share.

Corporate actions at the mutual fund level may include unitholder ballots or meetings, scheme mergers or closures, changes to fundamental attributes or fees, distribution elections or dividend reinvestment, switches between options within a scheme, or other actions notified by the fund manager.

We may, at our discretion, make participation in a corporate action available to you where it is operationally feasible and permitted by the issuer. Any participation may be subject to additional terms, cut-off times, and limits set by us and or the issuer.

When your instruction is required, we will notify you and specify how to respond and by when. If we do not receive an instruction by the deadline, we may take no action or apply a default option stated by the issuer or in our notice. We will not consider your personal circumstances when applying a default or when no action is taken.

## TYPES OF CORPORATE ACTIONS

### Distributions (dividends)

All mutual funds on Indus reinvest distributions. Your entitlement is applied to buy additional units. No cash is paid out and no action is required from you.

### Scheme mergers, closures, or fundamental changes

If a fund merges, is wound up, closes to new money, or changes key features or fees, we implement the issuer's instructions and update your holdings. We will share any communications we are able to provide.

### Unitholder meetings and ballots

These can occur, but participation for custodial holders may be limited. Voting at company level is exercised by the fund manager, not by individual Indus clients.

### Unit splits or consolidations

If a fund adjusts units on issue, we process the split or consolidation and update your unit balance.

### Switches within a scheme (if offered by the issuer)

Where permitted and operationally feasible, we may facilitate switches between options within the same fund, subject to issuer rules and our cut-off times. In India, a switch may be treated as redeem plus buy.

**Not applicable to Indian mutual funds on Indus**  
Rights issues, company-level corporate actions, and delisted share sales are actions for individual shares. These do not apply at the investor level for mutual funds, as the fund manager handles corporate events on the underlying securities.

## Get in Touch

If you have any questions, you can contact our Support team either through the "Contact Us" button in the Indus app or via any of the below methods:

[support@indus.au](mailto:support@indus.au)

1800 680 099

